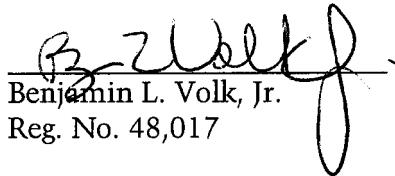


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF E-FILING

I hereby certify that this correspondence has been e-filed with the U.S. Patent and Trademark Office on February 28, 2008.



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Reg. No. 48,017

In re application of:
Tully et al.

Serial No.: 10/692,067

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Group Art Unit: 3691

For: System and method for the automated
brokerage of financial instruments

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ELECTION WITHOUT TRAVERSAL IN RESPONSE TO
RESTRICTION REQUIREMENT

In response to the Restriction Requirement dated January 28, 2008, Applicant files the following election without traversal.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 11 of this paper.